

**Impact of Basel III adjustments for Fed-approved 'advanced approaches' institutions\***  
**Financial data as of Q3'14**

<b>Company (top-level ticker)</b>	<b>Total assets (\$B)</b>	<b>Preliminary adjustments and deductions in CET1 (\$M)</b>	<b>Threshold-based adjustments and deductions in CET1 (\$M)</b>	<b>Total adjustments and deductions/pre-adjusted CET1 (%)</b>	<b>CET1 after adjustments and deductions (\$M)</b>
Bank of New York Mellon (BK)	304.87	6,066.0	0.0	28.49	15,223.0
BNY Mellon NA (BK)	16.81	1,680.3	0.0	57.35	1,249.8
Chase Bank USA NA (JPM)	142.62	12,340.7	704.8	48.03	14,116.9
Citibank NA (C)	1,377.62	21,050.0	660.0	14.49	128,112.0
Goldman Sachs Bank USA (GS)	111.76	50.0	191.0	1.14	20,902.0
JPMorgan Bank and Trust Co. NA (JPM)	6.61	0.0	0.0	0.00	1,522.0
JPMorgan Chase Bank NA (JPM)	2,008.81	26,013.0	226.0	14.63	153,166.0
Morgan Stanley Bank NA (MS)	116.77	6.0	0.0	0.05	11,935.0
Morgan Stanley Private Bank NA (MS)	25.18	27.0	0.0	1.11	2,401.0
Northern Trust Co. (NTRS)	110.80	481.2	38.7	6.85	7,068.2
State Street Bank and Trust Co. (STT)	270.37	5,804.1	0.0	28.85	14,315.7
U.S. Bank NA (USB)	387.03	8,612.1	0.0	21.21	31,998.8

As of Nov. 13, 2014.

\* Includes institutions that were approved by the Federal Reserve to use "advanced approaches" for computation of their capital requirements.

CET1 = common equity tier 1 capital

Data is based on regulatory filings.

Source: SNL Financial